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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Applicant: **Eric J. Horvitz**
Serial No.: **09/809,142**
Filed: **March 15, 2001**
For: **SYSTEM AND METHOD FOR IDENTIFYING AND ESTABLISHING PREFERRED MODALITIES
OR CHANNELS FOR COMMUNICATION BASED ON PARTICIPANTS' PREFERENCES AND
CONTEXTS**
Art Unit: **2153**
Examiner: **Unknown**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

RECEIVED

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SEP 29 2003

Technology Center 2100

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120:

Serial No.:

Filing Date:

Regarding any document, publication or other information for which a date is not given on the attached PTO-1449, Applicant(s) believe(s) the same may qualify as "prior" art to this application and should be treated accordingly, although Applicant(s) reserve(s) the right to contest the prior art status of any document, publication or information, should issue arise.

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

(a) Copy of each English language version of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.

(b) Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

(a) Within 3 months of the filing date or date of entry into the National Stage.

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4. Certification (if applicable)

(a) The undersigned hereby certifies that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than 3 months prior to the filing of this Statement.

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Respectfully Submitted,
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By 
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CERTIFICATE OF MAILING

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the below date with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450. Alexandria, VA 22313-1450.

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Himanshu S. Amin



PTO/SB/08A (10-01)

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| Complete if Known | |
|--------------------------|-------------------------|
| Application Number | 09/809,142 |
| Filing Date | March 15, 2001 |
| First Named Inventor | Eric J. Horvitz |
| Art Unit | 2153 |
| Examiner Name | Unknown |
| Attorney Docket Number | MS171139.1 / MSFTP221US |

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U.S. PATENT DOCUMENTS

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| | | | | Application Number | 09/809,142 |
| | | | | Filing Date | March 15, 2001 |
| | | | | First Named Inventor | Eric J. Horvitz |
| | | | | Group Art Unit | 2153 |
| | | | | Examiner Name | Unknown |
| Sheet | 2 | of | 2 | Attorney Docket Number | MS171139.1 / MSFTP221US |

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

| | | | |
|-----------------------|--|--------------------|--|
| Examiner Signature | | Date Considered | |
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